

Documentation of Client Recommendation

Customer information

Client Name(s):

Product(s) recommended:

Meeting information

Please provide a summary of the items discussed. In this section, include a reasonable summary the suitability considerations and product information, both favorable and unfavorable, that provide a basis for the recommendations and how they are in the client's best interest. Additionally, please provide reasoning for the type of account chosen (Advisory vs. Commission) and compensation to be received.

Client Signature(s)

Representative Signature(s)